# Predicting trait-environment relationships for venation networks along an Andes-Amazon elevation gradient

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*Abstract.* Understanding functional trait-environment relationships (TERs) may improve predictions of community assembly. However, many empirical TERs have been weak or lacking conceptual foundation. TERs based on leaf venation networks may better link individuals and communities via hydraulic constraints. We report measurements of vein density, vein radius, and leaf thickness for more than 100 dominant species occurring in ten forest communities spanning a 3,300 m Andes-Amazon elevation gradient in Peru. We use these data to measure the strength of TERs at community scale and to determine whether observed TERs are similar to those predicted by physiological theory. We found strong support for TERs between all traits and temperature, as well weaker support for a predicted TER between maximum abundance-weighted leaf transpiration rate and maximum potential evapotranspiration. These results provide one approach for developing a more mechanistic trait-based community assembly theory.

Key words: abundance-weighting; Amazon basin; Andes; community assembly; community-weighted mean; conductance; environmental filtering; functional trait; leaf thickness; trait-environment relationship; vein density; vein radius.

#### INTRODUCTION

A major goal of trait-based ecology is to use simple measurements of traits to create and inform predictive models of community assembly (Lavorel and Garnier 2002, McGill et al. 2006, Violle et al. 2014). The performance of different traits should vary within environment (Weiher and Keddy 1999, Suding et al. 2008), because of either physiological limitations, species interactions (Cody and Diamond 1975, Connor and Simberloff 1979), or historical/stochastic processes not related to traits (Vellend and Agrawal 2010, Fukami 2015). In the first two cases, selection should lead to filtering of species by response trait values along environmental gradients (Díaz et al. 1998, Shipley et al. 2006, Weiher et al. 2011) and thus differential community assembly along environmental gradients. Integrating these ideas into predictive community ecology requires progress in two key areas: identifying empirical trait-environment relationships

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(TERs) and developing theory to quantitatively predict them.

Establishing strong empirical TERs has been challenging. Abundance-weighted functional trait distributions may show the clearest response to climate because of stronger filtering on common species (Cingolani et al. 2007) and the centrality of common species in trait space (Umaña et al. 2015). However, both weighted and unweighted patterns are often weak. For example, the global leaf economics spectrum (Wright et al. 2004) shows stratification across biomes (Reich et al. 1999, Wright et al. 2005), but large fractions of the global range of ecological strategies are represented within any local community (Wright et al. 2004, Elser et al. 2010, Blonder et al. 2013, Edwards et al. 2014). Similarly, neither temperature nor precipitation could explain more than 29% of the variance in 21 different traits (Moles et al. 2014). Indeed, individual traits thought to have major ecological importance, that are often used as proxies for overall growth strategy (Craine 2009, Reich 2014), also show highly variable and often weak or opposite relationships among species and locations (e.g., leaf mass per area Read et al. 2014).

Some stronger relationships have unclear origins. In paleoclimate reconstruction, the fraction of species with toothed vs. entire leaves within a community predicts community temperature (Bailey and Sinnott 1915, Peppe et al. 2011), while a wider set of leaf morphological variables has been used to reconstruct other climate variables (Wolfe 1993, Royer et al. 2005). However, the underlying processes often remain unknown (but see Royer and Wilf 2006), or are driven by the colonization of large regions by clades showing phylogenetic niche conservatism (Little et al. 2010, Hinojosa et al. 2011). As a result, trait-environment relationships may vary unpredictably across regions if relationships are primarily driven by shared biogeographic history and phylogenetic position rather than species sorting based on the function of that trait. Such effects limit our ability to use traits to predict the composition of communities in response to novel environmental conditions because of ambiguity about underlying processes and the need to make out-of-sample predictions (Jackson and Overpeck 2000, Jordan 2011).

In addition to the challenges associated with discerning strong TERs, there is limited theory that can quantitatively predict them. The strongest approach would be to establish mechanistic linkages between traits and performance, then between performance and fitness across environmental contexts, which could be solved to direct predict relationships between traits and environment (Arnold 1983). There are a small number of models for plant performance that are based on environmental forcing of individuals with different trait combinations (Thornley 1991, Scheiter et al. 2013, Fyllas et al. 2014), or based on couplings between hydraulics and environment (Tyree and Sperry 1988, Bartlett et al. 2012, Manzoni et al. 2013, Martínez-Vilalta et al. 2014). In other cases, models exist that can predict trait values, e.g., for leaf hydraulics (de Boer et al. 2012, Blonder and Enquist 2014), but the last stage - explicit linkages to fitness and environment - is lacking. As a result, making mechanistic linkages between traits and environment remains generally challenging.

Many ecological models remain largely conceptual rather than quantitative (Houlahan 2016). Conceptual models are those that yield non-specific predictions, e.g., a positive relationship between A and B that can be assessed by using linear regression. Such a model can be assessed by proposing a form Y = AX + B and fitting values of coefficients A and B, then determining if they are different than zero. In contrast, quantitative models are those that propose a fully determined model, e.g., Y =AX+B where the values of A and B take specific predicted numerical values. Such a model can be assessed by determining how observed values of Y differ from predictions. The key difference is that in the former case, the coefficients and form of the model are discovered from the test data; in the latter, both are predicted independent of the test data (Marquet et al. 2014). Such quantitative predictions are common in physics (e.g., the orbit of a planet in a gravitational field), but have been more difficult in the

more complex systems characterizing community ecology (Levins 1966, Levins and Lewontin 1985).

Here we ask how leaf venation network traits are coupled to the environment. The motivation for exploring vein traits is the broad evidence indicating that these networks are ecophysiologically linked to plant response to environment. Veins supply the water lost via transpiration through stomata, with denser venation leading to increased hydraulic conductance (Roth-Nebelsick et al. 2001). In general, there is a coupling between hydraulic conductance and stomatal conductance so that water supply and demand are matched (Brodribb and Jordan 2011). Because higher stomatal conductance enables higher rates of carbon assimilation (Brodribb et al. 2007), selection against plants with certain water-use or carbon-gain strategies could result in environmental filtering on a suite of venation network traits. Consistent with this idea, venation network TERs generally appear to be strong and have been described for environmental gradients at both interspecific (Uhl and Mosbrugger 1999, Kessler et al. 2007, Sack and Scoffoni 2013, Blonder et al. 2016) and intraspecific (Blonder et al. 2013) scales. At the community scale, this pattern also appears to hold in New World tropical forests and temperate subalpine/alpine environments, with limited phylogenetic niche conservatism observed in venation network traits (Blonder and Enquist 2014). At longer macroevolutionary time scales, there is also evidence for TERs between global environmental change and novel network geometries, e.g., across the Cretaceous (Boyce et al. 2009, Brodribb and Feild 2010).

A key composite trait for leaf venation networks is hydraulic path length, which determines the maximum distance between the epidermis and any vein (Fig. 1). This variable is a function of several traits including leaf inter-vein maximum distance (IVD, mm), leaf thickness  $(d_y, mm)$ , and vascular bundle radius  $(r_v, mm)$ . Lower hydraulic path lengths have been shown to predict higher leaf hydraulic conductance (Brodribb et al. 2007), although several other anatomical variables, discussed in the Methods, are also implicated. IVD is mathematically related to a commonly measured trait, vein density (VD; mm<sup>-1</sup>), by the relationship VD \* IVD = k, where k is a number that depends on areole geometry, and can be shown based on planar geometry to take a limited range of values  $1 \le k \le 2$  (Blonder et al. 2011).

TER theory focused on paleoclimate reconstruction has been developed to predict community climate based on community-weighted mean venation traits (Blonder and Enquist 2014). The hypothesis is that maximum leaf transpiration rate ( $E_{max}$ ) (a function of hydraulic conductance and thus venation network traits) should be proportional to maximum potential evapotranspiration ( $\alpha$  PET<sub>max</sub>), where  $\alpha$  is a Priestley-Taylor coefficient. This model was algebraically solved using simple quantitative sub-models for  $E_{max}$ ,  $\alpha$ , and PET<sub>max</sub> that predicted a nonlinear function for the relationship between VD and air temperature. This prediction was supported in both tropical and temperate sites (Blonder and Enquist 2014), although

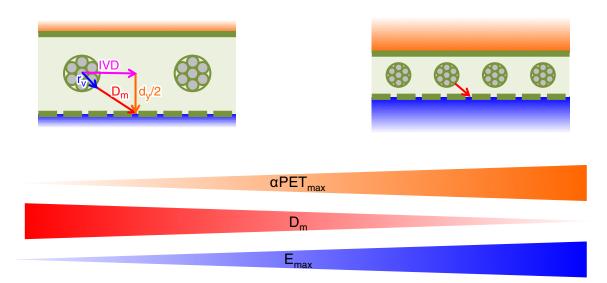


FIG. 1. Conceptual diagram of a proposed trait-environment relationship. Intervein maximum distance (IVD), vein radius  $(r_v)$ , and leaf thickness  $(d_v)$  combine geometrically to determine the hydraulic path length,  $D_m$ . Lower values of  $D_m$  reduce the distance water travels between a vein and an evaporative surface, and thus increase the maximum hydraulic conductance. Higher conductance can in turn increase the maximum transpiration rate  $(E_{max})$ . The model proposes that when potential evaportanspiration ( $\alpha \text{ PET}_{max}$ ; above-leaf gradient) in the environment is high,  $E_{max}$  should also be high (below-leaf gradient), driving lower values of  $D_m$ . Variation in  $D_m$  can be achieved by variation in VD,  $r_v$ ,  $d_y$ , and other anatomical traits. [Colour figure can be viewed at wileyonlinelibrary. com]

both similar and opposite empirical patterns have been found in some clades (Mason and Donovan 2015, Blonder et al. 2016). An open question is whether this theory can also provide an approach for predicting communityweighted venation network traits from climate.

To move towards more predictive understandings of TERs, we present an empirical study of a 3,300 m elevation gradient in eastern Peru. Venation network traits for Andean and Amazonian species and communities have been poorly described, despite the high regional biodiversity. We report empirical patterns of abundance and venation network trait variation in more than 100 dominant angiosperm tree species in ten 1-ha plots. We then use these data to describe the strength of empirical TERs involving venation network traits at community scale, to critically compare observations to expected TERs predicted by the vein-climate model, and to examine phylogenetic structure in observed relationships.

#### METHODS

# Study site

This study included 10 plots that belong to a group of permanent 1-ha plots along elevation gradients in the departments of Cusco and Madre de Dios in SE Peru. A detailed map of these plots can be found in Malhi et al. (*in review*). Six of the plots are montane plots in the Kosñipata Valley, spanning an elevation range 1500– 3500 m (Malhi et al., 2010), two are submontane plots located in the Pantiacolla front range of the Andes (range 600–900 m) and two plots are found in the Amazon lowlands in Tambopata National Park (elevation range 200–225 m). The elevation gradient is very moist (Table 1), with seasonal cloud immersion common above 1,500 m elevation (Halladay et al. 2012).

The plots are part of a long-term research effort coordinated by the Andes Biodiversity Ecosystems Research Group (ABERG, http://www.andesconserva tion.org) and are part of the ForestPlots (https://www. forestplots.net/) and Global Ecosystems Monitoring Network (GEM; http://gem.tropicalforests.ox.ac.uk/pro jects/aberg) networks. Plots were established between 2003 and 2013 in areas that have relatively homogeneous soil substrates and stand structure, as well as minimal evidence of human disturbance (Girardin et al. 2014*a*).

## Census and abundance data

Within each plot, all stems  $\geq 10$  cm diameter at breast height were tagged, sized, and identified to species-level by William Farfan and Miles Silman during a 2013 or the most recent year before 2013 tree census, and then recorded in the ForestPlots database (https://www.for estplots.net). A subset of these stems were selected based on their abundance for trait sampling. These individuals were examined and potentially renamed by taxonomic experts at the Carnegie Institution for Science (https:// cao.carnegiescience.edu/spectranomics) (Malhi et al., *in review*). Abundances for each taxon within each plot were then calculated using the revised names as summed basal area across all stems.

No. species measured	21	24	12	13	23	26	15	12	12	6
No. branches measured	75	80	55	58	92	114	95	93	74	75
$E_{max}$ (mmol m <sup>-2</sup> s <sup>-1</sup> )	$2.824 \pm 2.020$	$4.350 \pm 2.347$	$5.997 \pm 4.382$	$3.779 \pm 2.565$	$5.165 \pm 1.997$	$3.443 \pm 1.477$	$2.349 \pm 0.781$	$2.060 \pm 0.703$	$1.718 \pm 0.856$	$1.945 \pm 0.883$
$\begin{pmatrix} d_y \\ (mm) \end{pmatrix}$	$0.221 \pm 0.047$	$0.192 \pm 0.032$	$0.218 \pm 0.040$	$0.236 \pm 0.061$	$0.205 \pm 0.040$	$0.304 \pm 0.099$	$0.367 \pm 0.138$	$0.407 \pm 0.095$	$0.559 \pm 0.210$	$0.419 \pm 0.123$
$\binom{r_{y}}{(\mathrm{mm})}$	$0.041 \pm 0.014$	$0.034 \pm 0.008$	$0.038 \pm 0.006$	$0.037 \pm 0.006$	$0.036 \pm 0.011$	$0.044 \pm 0.013$	$0.042 \pm 0.008$	$0.047 \pm 0.010$	$0.055 \pm 0.011$	$0.044 \pm 0.006$
IVD (mm)	$0.129 \pm 0.060$	$0.084 \pm 0.020$	$0.077 \pm 0.028$	$0.097 \pm 0.024$	$0.077 \pm 0.027$	$0.102 \pm 0.037$	$0.121 \pm 0.057$	$0.132 \pm 0.056$	$0.162 \pm 0.077$	$0.144 \pm 0.067$
VD (mm <sup>-1</sup> )	$10.883 \pm 4.570$	$14.318 \pm 2.496$	$15.294 \pm 3.870$	$12.758 \pm 2.639$	$14.850 \pm 3.451$	$12.092 \pm 3.097$	$11.103 \pm 3.175$	$9.992 \pm 2.221$	$8.995 \pm 3.312$	$10.175 \pm 3.513$
$\substack{\alpha PET \\ (mmol \\ m^{-2} s^{-1}) }$	8.09	8.08	9.19	8.92	7.18	7.02	8.15	6.41	6.24	6.65
$\begin{array}{c} {\rm MAP} \\ {\rm (mm} \\ {\rm yr}^{-1} ) \end{array}$	1,900	1,900	2,366	2,835	5,302	5,302	2,318	1,560	1,560	1,980
MAT (°C)	24.4	24.4	23	21.9	18.8	17.4	13.5	13.1	11.8	6
Elevation (m)	215	223	595	859	1,494	1,713	2,719	2,868	3,045	3,537
c Longitude	-69.296	-69.2705	-71.2626	-71.2744	-71.5365	-71.5423	-71.5893	-71.5948	-71.5874	-71.6323
Latitude (°)	-12.8385	-12.8309	-12.6495	-12.6383	-13.0491	-13.0475	-13.1055	-13.1751	-13.1908	-13.14689
Plot code	TAM-06	TAM-05	PAN-02	PAN-03	SPD-02	SPD-01	TR U-04	ESP-01	WAY-01	ACJ-01

wherein species were sampled that maximally contributed to plot basal area (a proxy for plot biomass or crown area). We aimed to sample the minimum number of species that contributed to 80% of basal area, although in the diverse lowland forest plots we only sampled species comprising 60-70% of plot basal area. Within each sampled species in each plot, five trees in upland sites and three trees in lowland sites were chosen for sampling. If three trees were not available in the chosen plot, we sampled additional individuals of the same species from an area immediately surrounding the plot. Using single rope tree climbing techniques, we sampled one fully sunlit canopy branch and a fully shaded branch where possible, each at least 1 cm diameter, from each tree. Across all plots, approximately 40% of trees had also shade branches sampled (some trees had no shade branches available). From each branch, we measured five leaves from simple-leaved species, or five individual leaflets from compound-leaved species (both referred to as "leaf" below) for trait measurements. Branches and leaves with minimal damage were chosen.

Trait sampling approach From April–November 2013, we measured plant traits as part of the CHAMBASA (CHallenging Attempt to Measure Biotic Attributes along the Slopes of the Andes) project. Based on census data for 2013 or the most recent census year before 2013, a sampling protocol was adopted

For this study, data are reported for only angiosperms, because the TER model described below is not necessarily applicable to gymnosperms and ferns with differing physiologies. However angiosperms do comprise the overwhelming majority of biomass and number of individuals across these plots (Malhi et al. *in review*).

# Trait measurements

Area shrinkage (S;  $m^2 m^{-2}$ ).—We calculated the fraction of leaf area that was lost upon drying (varying from 0 to 1). We used the supplementary data provided by Blonder et al. (2012) to calibrate a leaf-level shrinkage using a random forest regression model with leaf mass per area, fresh lamina area and leaf thickness as predictor variables. This model explained 46.6% of the variation in the calibration data. On application to leaves from this dataset, it yielded shrinkage values of S = 0.12  $\pm$  0.05 SD.

*Leaf thickness*  $(d_y; mm)$ .—We measured the thickness of each leaf in the field immediately after collection using a micrometer (Tresna, 211-101F). Measurements were taken on the lamina, avoiding primary, secondary, and tertiary veins.

*Vein density and intervein distance (VD, mm<sup>-1</sup>; IVD, mm).*—Using pressed dried leaf material, we prepared a slide-mount of each leaf's venation network following standard chemical clearing and staining protocol, with leaf epidermal layers removed using a small brush

TABLE 1. Characteristics of communities sampled along the Koshipata tropical forest elevation gradient. Trait columns indicate abundance-weighted means and standard deviations.

Columns "species/branches measured" refer to the number of units for which angiosperm trait measurements were available.

(Pérez-Harguindeguy et al. 2013). We then photographed each slide-mounted leaf using a Olympus SZX-12 microscope with transillumination and coupled to a Canon Tli digital camera. Images were obtained with a final resolution of 179 pixels mm<sup>-1</sup>, with a full extent of 12.8 mm  $\times$  19.3 mm. Images were enhanced using in MATLAB by applying contrast limited adaptive histogram equalization to the green channel of each image, using a sliding window of 200 pixels and a gain of 0.01. We traced all veins within a well-cleared polygonal region of interest of each image (mean area  $36 \pm 23$  SD mm<sup>2</sup>). We calculated VD<sub>raw</sub> in MATLAB by dividing the total length of the skeletonized traced veins by the total area of the region of interest. We calculated IVD<sub>raw</sub> by performing a Euclidean distance transformation on the skeletonized image. Within each areole, the maximum of this distance transformation is equ alent to the maximum distance from a vein. We th calculated IVD<sub>raw</sub> as the mean of this distribution. then corrected raw measurements for shrinkage usin factor using the relationship between areal and line scales as

$$VD = VD_{raw} \cdot \frac{1}{\sqrt{1-S}}$$
(1)  
IVD = IVD\_{raw} \cdot \sqrt{1-S}

*Vein radius*  $(r_v; mm)$ .—On each cleared leaf image, we randomly selected 50 vein segments from the ultimate venation network with a MATLAB program. We then measured the maximum diameter of each vein segment using a software ruler tool and calculated a raw diameter as the median of this distribution  $(r_{v,raw}; mm)$  for each image. We then multiplied by a shrinkage factor, yielding

$$r_v = r_{v,raw} \cdot \sqrt{1 - S}.$$
 (2)

*Hydraulic path length* ( $D_m$ ;  $\mu m$ ).—We estimated the approximate hydraulic path length for water flow away from veins through the mesophyll towards an evaporative surface via apoplastic pathways (Fig. 1) as

$$D_m \approx \sqrt{X^2 + Y^2}.$$
 (3)

Here X and Y are horizontal and vertical distances through the mesophyll via apoplastic pathways. Following (Brodribb et al. 2007), we assume that individual cells have dimensions  $C_x$  and  $C_y$ . These terms then can be written as

$$X = v \cdot \frac{\left(C_x - C_y\right) + C_y \cdot \left(\pi/2\right)}{C_x} \qquad (4)$$
$$Y = t \cdot \frac{\pi}{2}$$

Here *v* and *t* are distances that can be related to functional traits as

$$v = 1000 (\text{IVD} - r_v \cos \theta)$$
  
$$t = 1000 \left(\frac{d_y}{2} - r_v \sin \theta\right)$$
 (5)

parsimoniously assuming that veins are located halfway between the abaxial and adaxial surface of the leaf, where  $\theta$  is the angle between the plane of the leaf and the origin point of the maximum-length minimum path from the vein to the epidermis, and where the factor of 1,000 converts mm to  $\mu$ m. An exact but complex expression for  $\theta$ can be found by solving for  $\frac{dD_m}{d\theta} = 0$ , or alternatively, an approximate solution can be obtained assuming that the maximum-length path proceeds between the horizontal and vertical, i.e.,  $\theta = \pi/4$ . Eq. 3 therefore simplifies to

$$D_{m} = 1000$$

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$$M_{e} = \sqrt{\frac{\pi^{2}}{4} \left(\frac{d_{y}}{2} - \frac{r_{y}}{\sqrt{2}}\right)^{2} + \left(1 + \frac{C_{y}}{C_{x}}\left(\frac{\pi}{2} - 1\right)\right)^{2} \left(IVD - \frac{r_{y}}{\sqrt{2}}\right)^{2}}.$$
(6)

Estimated leaf hydraulic conductance ( $K_{est}$ ; mmol  $H_2O$  $m^{-2} s^{-1} MPa^{-1}$ ).—We estimated maximum leaf hydraulic conductance following the data of Brodribb et al. (2007) as

$$K_{\rm est} = 12670 \cdot D_m^{-1.26}.$$
 (7)

Leaf hydraulic conductance also depends on several physiological and environmental variables beyond venation network traits such as xylem conduit number/ size, bundle sheath anatomy, etc. (Rockwell et al. 2014, Buckley et al. 2015, Simonin et al. 2015). However, the model of Eq. 7 fits a wide set of species and does not require additional labor-intensive anatomical measurements. It therefore provides a first approximation for how venation networks constrain water flow within a leaf.

*Gap-filling.*—A small number (<10%) of trait measurements for leaf mass per area, fresh lamina area, and  $d_y$  were missing at random. We filled missing values using multiple imputation via chained equations, with predictive mean matching. We imputed 10 datasets for a matrix including these variables, plot code and sun/shade status. We then used mean values across these replicates to gap-fill missing observations in the original data matrix.

#### A physiological model for TERs

We tested a simplified version of the Blonder and Enquist (2014) TER model. This model proposed that leaves have a physiological capability for transpiration that matches the climate-determined potential evapotranspiration in the leaf's microenvironment. This model effectively assumes that the capacity for high transpiration rates can be adaptive in terms of supporting carbon assimilation under some environmental conditions. The model in its most general form can be expressed as

$$E_{\rm max} = \alpha {\rm PET}_{\rm max} \tag{8}$$

where  $E_{\text{max}}$  is maximum leaf transpiration rate,  $\alpha$  is a Priestley-Taylor coefficient that depends climate and forest structure (Priestley and Taylor 1972), and PET<sub>max</sub> is maximum potential evapotranspiration. The model's does not include a correction for leaf area index because the water supply-demand matching is assumed to occur at leaf scale.

The original presentation of the model expanded  $\alpha PET_{max}$  into a set of nonlinear terms that could be related to latitude, temperature, [CO2], and a range of other leaf physiological variables, e.g., optimal stomatal control parameters. Most of these parameters were originally assumed to take constant values, despite evidence that they empirically vary across contexts and potentially co-vary with each other. The rationale for this choice was that the model was built for reconstructing paleoclimate, i.e., inferring climate from traits. In this context, only a small set of venation traits would be available from fossils and all other model parameters would be unknown.

However, this parameter-heavy approach can be criticized in the present context of predicting community trait distributions from climate, i.e., in exploring how environmental filtering on traits may drive community assembly. In this case much of complexity of the original model presentation can be avoided, because  $\alpha PET_{max}$  can be directly calculated from weather station data. We build directly on the model's fundamental Eq. 8, and assume that  $\alpha PET_{max}$  is a measured quantity, and that maximum transpiration can be expressed as the product of  $K_{est}$ , the hydraulic conductance, and  $\Delta \Psi_{ls}$ , the water potential gradient from stem xylem to leaf (Sack and Holbrook 2006) under maximum transpiration conditions. This yield the relationship:

$$E_{\max} = K_{est} \cdot \Delta \Psi_{ls} = \alpha PET_{\max}.$$
 (9)

Combining Eqs 7–10, a simplified TER is obtained as:

$$E_{\max} = \frac{\frac{12670 \cdot \Delta \Psi_{ls}}{1000^{1.26}} \cdot \frac{1}{\left(\frac{\pi^2}{4} \left(\frac{d_y}{2} - \frac{r_y}{\sqrt{2}}\right)^2 + \left(1 + \frac{C_y}{C_x} \left(\frac{\pi}{2} - 1\right)\right)^2 \left(IVD - \frac{r_y}{\sqrt{2}}\right)^2\right)^{0.63}}{= \alpha PET_{\max}}.$$
(10)

Equation 11 predicts that, all else being held constant, sites with higher potential evapotranspiration should have higher vein density or lower inter-vein distance, large vein radii, and/or lower leaf thicknesses.

There are an infinite number of ways for the venation traits to satisfy Eq. 10. As such,  $r_v$ ,  $d_v$ , and VD or IVD are all potentially mutually uncorrelated. However natural selection may lead to correlations between these variables (Blonder et al. 2013). For example, some species may have high  $r_v$  to provide resistance to herbivory, while others may have high  $d_v$  to provide additional water capacitance. Alternatively, variables may be coordinated because of selection on integrated phenotypes. For example, optimal water transport should lead to an even supply of water throughout the leaf, suggesting that regions between or above the leaf veins should not be oversupplied or undersupplied (Fig. 1; Noblin et al. 2008). Assuming that veins are located midway between the upper and lower surfaces of the leaf (not always the case but a useful approximation Wylie 1946), this leads to the prediction that

$$IVD = \frac{d_y}{2}.$$
 (11)

If this relationship holds, then the dimensionality of the trait space will be reduced, and  $\alpha \text{ PET}_{\text{max}}$  becomes a function of only IVD and  $r_v$ .

## Model parameterization

We explored the consequences of variation in the two unmeasured parameters of Eq. 10:  $C_y/C_x$  and  $\Delta \Psi_{ls}$ . Both are labor-intensive to measure and not commonly available for broad comparative studies. Under the hightranspiration conditions for which the model would apply,  $\Delta \Psi_{ls}$  should take a range of values across species varying from approximately 0.2 to 1.0 MPa, with most values skewed to the lower end of this range (Choné et al. 2001, Brodribb et al. 2002, Franks 2006, Simonin et al. 2015). We therefore modeled it as being uniformly distributed as

$$\Delta \Psi_{ls} \sim U(0.2, 1.0) \,. \tag{12}$$

Similarly,  $C_y/C_x$  can take a range of positive values. We used the 25–75% quantile range from (Brodribb et al. 2007), leading to the assumed distribution:

$$\frac{C_y}{C_x} \sim U(1,20). \tag{13}$$

We drew 1,000 values from each of these prior distributions and used these in Eq. 10 to estimate the 25%, 50%, and 75% quantiles of the posterior distribution of  $E_{\rm est}$ .

# Community-weighting traits

Trait distributions for IVD and VD,  $d_y$ ,  $r_y$ , and the quantiles of  $E_{est}$  at each site were community-weighted by

species' abundance, as measured by summed basal area across all stems (Table 1). We also repeated calculation of community-weighted means using only sun leaves and using only shade leaves.

The weight was defined as  $w_{ij}$ , for each site *i* and for each species  $j \le J_i$ , where  $J_i$  is the total number of species in plot *i*. We also calculated  $T_{im}$ , the species-at-site mean values of trait *T* for the subset of species  $\{m_i\} \subset \{1, \dots, J_i\}$  in plot *i* for which trait data were available.

The weighted mean value of T in plot i was calculated as:

$$\hat{\mu}_{i} = \frac{\sum_{m \in \{m_{i}\}} w_{im} T_{im}}{\sum_{m \in \{m_{i}\}} w_{im}}.$$
(14)

Note that because  $|\{m_i\}| < J_i$ , this estimator is potentially biased by the trait values of species that are in the community, but were unmeasured either because their abundance or biomass was low or because trait measurements were unavailable. However, simulation studies suggest that our approach leads to <5% difference in means and <20% difference in variance at our 80% biomass sampling intensity (Paine et al. 2015).

## Climate data

We obtained climate data from a set of weather stations located adjacent to each one of the study sites (Table 1). The most complete annual time series for most weather stations were for year 2013 and incoming radiation, temperature, precipitation, and relative humidity were recorded at 30-min intervals. We used these time series to estimate the average daily climate. For days that the diurnal profile was not available, the average daily values were obtained by interpolating the daily parameters of the previous and following 3 d. Because of poor humidity sensor performance at TRU-04, we estimated relative humidity there following a calibration based on dewpoint temperature at WAY-01 using the methods of Ephrath et al. (1996).

Daily total aPET was estimated within the climate submodel of the Trait-based Forest Simulator model (Fyllas et al. submitted) at each site using the Priestley-Taylor (PT) model and neglecting the heat flux into the ground (Priestley and Taylor 1972), with additional daily parameters estimated following Allen et al. (1998). We corrected temperature for altitudinal differences using an adiabatic rate of 5.5°C/km (Girardin et al. 2014b) and assumed no change of radiation and precipitation with altitude. We then estimated a maximum annual value,  $\alpha PET_{max}$ , as the daily maximum value of aPET. We converted units to mmol m<sup>2</sup> s<sup>-1</sup> from mm d<sup>-1</sup> via a multiplicative factor of  $1.285 = 24/12 * 10^{6}/18.01/86400$ , where the factor of 24/12accounts for evapotranspiration only occurring during approximately 12/24 daylight hours and all other factors represent the direct unit conversion.

## Phylogenetic analysis

We constructed a phylogenetic tree for all species for which trait measurements were obtained using standardized names. Voucher specimens can be viewed at https://cao.carnegiescience.edu/spectranomics using the branch codes in Data S2. We built the phyogenetic tree using the *phylomatic* function in Phylocom 4.2 (Webb et al. 2008) using the "R20120829" megatree. We then calculated approximate crown ages for each clade using Phylocom's *bladj* function, with constraints for internal nodes originally provided by (Wikström et al. 2001) and corrected for file transcription errors by Gastauer and Meira-Neto (2013). We then assigned trait values to the tips of this tree by calculating mean trait values across all branches and sites for which a measurement was available.

#### Statistical analyses

We conducted all analyses in R version 3.2.2. Phylogenetic analyses were conducted with the *ape*, *picante*, and *phytools* packages; hierarchical variance partitioning with the *nlme* package following Messier et al. (2010); random forest regression with the *randomForest* package, SMA regression with the *smart* package.

## RESULTS

#### Climate variation

Across the 3,300 m elevation transect, annual temperatures varied from 9.0 to 24.4°C; annual precipitation from 1,560 to 5,300 mm, and maximum daily potential evapotranspiration from 6.2 to 9.2 mmol m<sup>-2</sup> s<sup>-1</sup>. Elevation predicted temperature ( $R^2 = 0.99$ ) and  $\alpha$ PET<sub>max</sub> ( $R^2 = 0.68$ ), but not precipitation ( $R^2 = 0.02$ ).

## Range of trait variation

Our final dataset included trait measurements for 811 leaves from 130 taxa. This reflected collections at each site of  $17 \pm 6$  SD species and  $81 \pm 18$  SD branches (Table 1). All venation network traits showed extensive variation. Vein density (VD) varied from 4.8 mm<sup>-1</sup> for Clusia alata (Clusiaceae) to 21.7 mm<sup>-1</sup> for Pourouma bicolor (Urticaceae) (Fig. 2), while IVD showed inverse variation, from 0.043 mm for Pourouma bicolor to 0.277 mm for Clusia alata. Median minor vein radius  $(r_{v})$  varied from 0.018 mm in *Rauvolfia leptophylla* (Apocynaceae) to 0.07 mm in Clusia alata (Clusiaceae). Leaf thickness  $(d_v)$  varied from 0.128 mm in *Rinorea* viridiflora (Violaceae) to 0.805 mm in Clusia flaviflora (Clusiaceae). Boxplots of trait distributions across individuals within each species and plot are available for VD (Appendix S1: Fig. S1), IVD (Appendix S1: Fig. S2),  $r_v$  (Appendix S1: Fig. S3), and  $d_v$  (Appendix S1: Fig. S4).

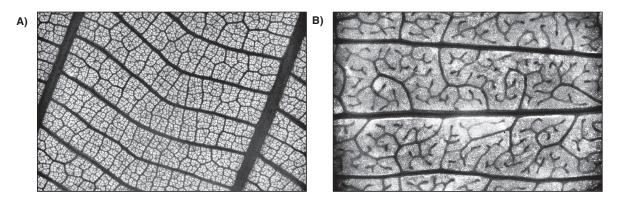


FIG. 2. Venation network traits vary widely along the elevation gradient, including leaves from species such as (A) *Pourouma bicolor* (Urticaceeae) with VD = 22.8 mm<sup>-1</sup> and (B) *Clusia alata* (Clusiaceae), with mean VD = 4.0 mm<sup>-1</sup>. Dimensions for each image are 19.3 mm  $\times$  12.8 mm.

There also were statistically significant, but biologically small, shifts in some venation network traits across canopy vs. shade light environments. When considering the distribution of differences between sun means and shade means for each taxon at each site,  $r_v$  was higher in

the sun (mean shift 0.001 mm, P = 0.002),  $d_y$  was higher in the sun (mean shift 0.025 mm,  $P < 10^{-6}$ ) and IVD was lower in the sun (mean shift -0.003 mm, P < 0.03). The distribution of VD did not significantly shift with light (Fig. 3).

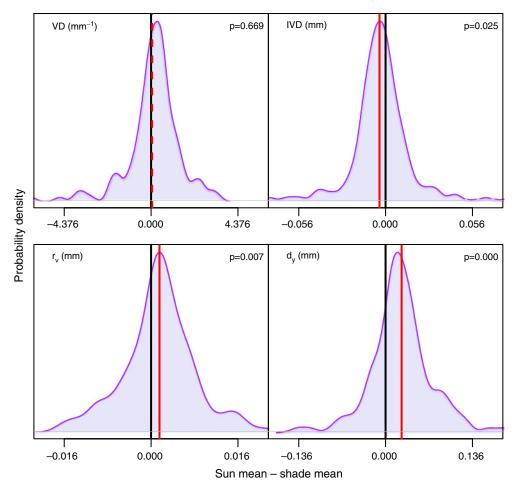


FIG. 3. Variation in venation traits across light environments. Filled distributions indicate the distribution of sun mean minus shade mean values within each species, across all species-sites combinations. The null expectation of zero is shown as a black line. The mean of the observed distribution is shown as a solid light vertical line if significantly different from zero and dashed light line if not. [Colour figure can be viewed at wileyonlinelibrary.com]

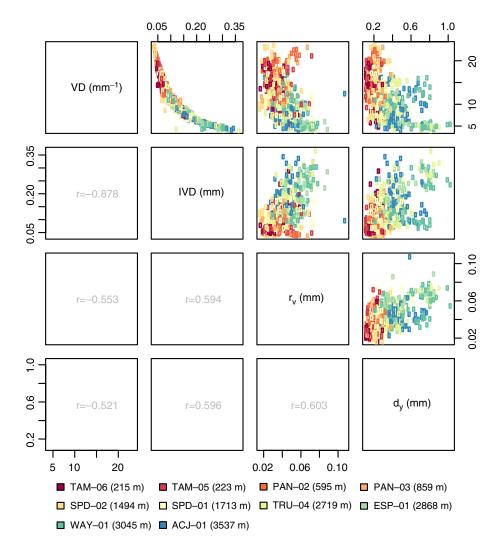


FIG. 4. Venation network trait-trait correlations. Upper panels show pairs plots, with points representing individual leaves shaded by plot elevation. Lower panels show Pearson correlation coefficients. For phylogenetic regression see Appendix S1: Table S1.

#### Trait intercorrelations

The venation network traits were intercorrelated with each other. At the leaf-level, higher VD was generally associated with lower  $r_{\nu}$ , and lower  $d_{\nu}$ . However the spread was relatively large, with absolute values of Pearson's *r* taking values above >0.52 for leaf-level data (Fig. 4). We repeated this analysis with a GLS regression on re-centered and scaled species-mean data employing a Brownian phylogenetic correlation structure. In this case, all slope estimates (an approximate parallel to Pearson's *r*) took absolute values above 0.29 (Appendix S1: Table S1).

We also examined the predicted 1:1 relationship between  $d_y/2$  and IVD. We found a positive relationship between these variables (SMA regression on log-transformed data;  $R^2 = 0.35$ ,  $P < 10^{-15}$ ). Observed data were close, but not equal, to the prediction of zero intercept and slope of unity (95% confidence interval for intercept, [-0.36, -0.13]; slope, [0.96, 1.08]) (Appendix S1: Fig. S5).

#### Phylogenetic patterns

Hierarchical variance decomposition indicated that VD and IVD were primarily determined at family level ( $\geq$ 39% variation), while thickness and vein radius were primarily determined at species or intraspecific levels (more than 26% variation) (Fig. 5).

There was wide variation in traits across the phylogenetic tree (Fig. 6). In general, the lowest values of VD were found amongst the Clusiaceae, and the highest among the Fabaceae, Urticaceae, and Moraceae, while the opposite was true for IVD. The lowest values of  $r_v$  and  $d_y$  were found consistently among the Fabaceae, while the highest values of  $r_v$  and  $d_v$  were found among the Clusiaceae.

Most traits varied more rapidly than under a Brownian motion model (Blomberg et al. 2003). The *K* value for VD was 0.90; for IVD, 1.32, for  $r_y$ , 0.47, for  $d_y$ , 0.43 (all  $P \le 0.002$ ). This result is consistent with limited evolutionary constraints on most venation network traits.

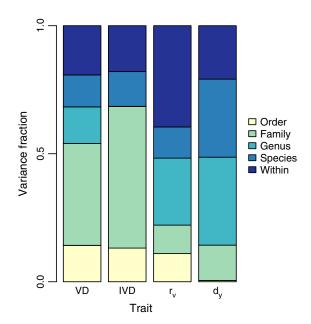


FIG. 5. Variance decomposition for venation network traits. Fractional bar lengths indicate variance partitioned at each taxonomic scale. [Colour figure can be viewed at wileyonlinelibrary.com]

#### Community-scale patterns

At community scale, abundance-weighted trait means showed correlations with climate. The strongest relationships were observed for temperature (all  $R^2 > 0.45$ , P < 0.05), with warmer sites associated with higher VD, smaller  $r_v$ , lower  $d_v$ , and higher  $K_{est}$  (Fig. 7). Relationships with  $\alpha$  PET<sub>max</sub> also existed (all  $R^2 > 0.5$ , P < 0.05, with higher water demand associated with higher VD, smaller  $r_v$ , lower  $d_v$ , and higher  $E_{\text{max}}$ . No relationships were observed between any trait variable and log<sub>10</sub> precipitation (P > 0.05). This latter result is unsurprising given the high precipitation at all sites and likely lack of water limitation. However, there was a clear division in sites, with low evapotranspiration occurring at sites in the cloud immersion zone (above 1,500 m) and high evapotranspiration occurring below sites outside this zone.

We also repeated this analysis for only sun leaves and for only shade leaves. Results were broadly consistent with the complete dataset (sun leaves; Appendix S1: Fig. S6; shade leaves, Appendix S1: Fig. S7). Shade leaves showed stronger relationships than seen in the complete dataset. For example, the  $R^2$  value for the mean annual temperature – VD relationship increased from 0.50 to 0.80, and the  $R^2$  value for the  $E_{\text{max}}$  and  $\alpha$ PET<sub>max</sub> relationship increased from 0.41 to 0.59. However, this stratified analysis is potentially biased because some common species had canopies with no sun leaves or no shade leaves and as a result only contributed to the community-weighted trait in some cases. Nevertheless,

# TER predictions

The TER model predicted a 1:1 relationship between  $E_{\text{max}}$  and  $\alpha$  PET<sub>max</sub>. Observations differed from the 1:1 expectation ( $R^2 = 0.41$ , P = 0.046, root mean square error of prediction, 1.06 mmol m<sup>-2</sup> s<sup>-1</sup>). However, both estimated coefficients overlapped the expectation of zero intercept and slope of unity (95% confidence interval for intercept, [-10.2, 3.3]; for slope, 0.0, 1.8]) (Fig. 8). Uncertainty due to unmeasured variation in  $\Delta \Psi_{ls}$  and  $C_{\nu}/C_{x}$  was also important, with mean interquartile range variation in predicted values of  $E_{\rm max}$  of  $3.2 \pm 1.3$  mmol m<sup>-2</sup>  $s^{-1}$ . While bias in these non-venation parameters could limit the power of the overall analysis, this bias would be insufficient to reject a positive relationship between  $E_{\rm max}$ and  $\alpha$  PET<sub>max</sub>. Thus, the overall model was strictly falsified due to underestimation of  $E_{\text{max}}$ , but the relationship between these variables that was of the correct directionality and of approximately the correct magnitude.

We also assessed whether  $E_{\rm max}$  increased with  $\alpha$  PET<sub>max</sub> within individual species. Because of high beta diversity along the gradient, only 8 species had measurements of  $E_{\rm max}$  at more than two sites and none at more than four (Appendix S1: Fig. S8). Of these, 2/8 had slopes that were significantly greater than zero (P < 0.05) and 1/8 had a slope that was significantly less than zero.

#### DISCUSSION

While patterns for VD and IVD have been reported across climate gradients at regional scale for small sets of species (Uhl and Mosbrugger 1999, Kessler et al. 2007, Blonder et al. 2016) or globally in a meta-analysis (Sack and Scoffoni 2013), descriptions at the community scale are rare (Blonder and Enquist 2014). Our results, collected at the community scale in an understudied tropical ecosystem, support the consensus trend of increasing VD and decreasing IVD,  $r_v$ , and  $d_v$  at lower elevations and higher temperatures. These traits were integrated such that their combined effect on  $E_{\text{max}}$  also led to strong TERs. The lack of phylogenetic signal in all traits, as evidenced by low Blomberg's K values and non-zero phylogenetic regression slopes, suggests that these TERs are not likely to be driven by biogeographic constraints on the distributions of different clades along the elevation gradient. Rather, our results suggest that climate plays a strong mechanistic role in constraining trait values and thus the occurrence patterns of these angiosperm species. This constrasts with many of the TERs underlying paleoclimate reconstruction approaches (e.g., Wilf 1997, Little et al. 2010). The empirical TERs we report for VD, IVD,  $d_v$ , and  $r_v$ , advance understandings of trait coordination across environments and may be useful for paleoclimate reconstruction and community assembly studies. They also provide novel measurements for a wide set of tropical rainforest taxa from the Andean and Amazonian region.

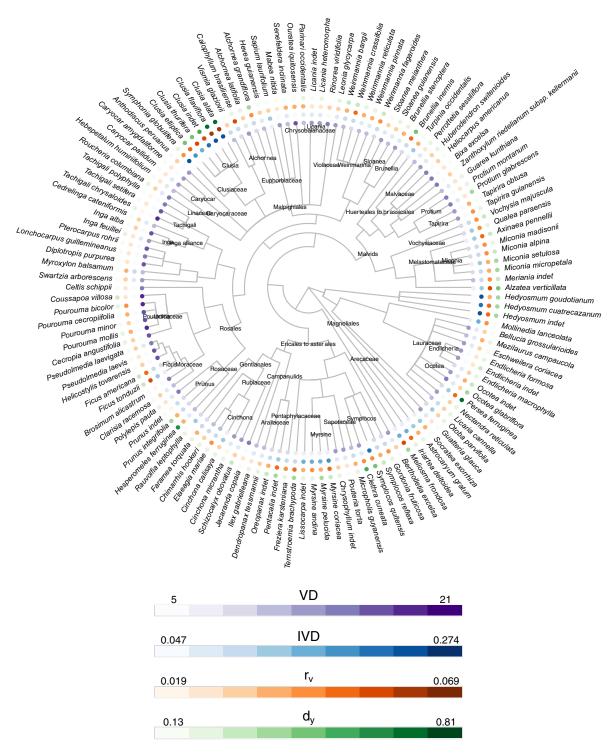


FIG. 6. Phylogenetic relationships among taxa. Circles for each species are shaded by trait value.

Within this trait correlation network, the negative relationship between VD and  $d_y$  has been previously hypothesized based on optimal flow arguments (Noblin et al. 2008). Subsequent critical examination has revealed inconsistent results (Blonder et al. 2011, Sack et al. 2013, Buckley et al. 2015). However, this study now provides evidence that this correlation is also found in Andean and Amazonian species, when using leaf half-thickness is a proxy for the minimum distance between vein and epidermis. Also, the negative relationship observed between

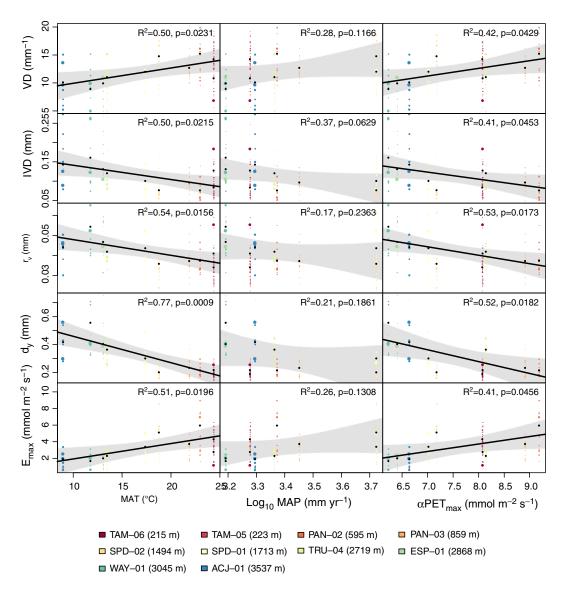


FIG. 7. Empirical trait-environment relationships. Points represent individual species and are shaded by plot elevation and sized by local abundance as measured by summed basal area. Regression 95% confidence intervals are shown in gray.

VD and  $r_{v}$  indicates integration of venation traits consistent with the findings of other phylogenetically broad studies (Sack et al. 2012, Feild and Brodribb 2013). This correlation is reasonable based on space-filling constraints: if a leaf reaches  $1/VD = r_{v}$ , then the veins will overlap each other (Fig. 1). This may have implications for the relationship between carbon gain and carbon cost in leaves, as total vein density may predict photosynthetic rate and total vein volume may predict construction costs (Brodribb et al. 2007, Blonder et al. 2011, Sack and Scoffoni 2013).

The lack of difference in venation network traits between sun and shade leaves we observed was surprising. Several previous studies (reviewed in Sack and Scoffoni 2013) have found increased VD in sun leaves. A lack of difference has been observed in *Nothofagus*, but only at high elevations in trees with small crowns (Brodribb and Jordan 2011). This suggests that leaves nominally determined to be shaded or sunlit may actually experience similar microclimate conditions. However, this is unlikely to explain patterns in this elevation gradient, where quantitative light logger data has shown sunlit leaves are sunnier (A. Shenkin, *personal communication*). It is possible that long-lived leaves that were shaded at the time of collection were sunlit when they developed, but we did not have data to examine this possibility. Alternatively there may be less plasticity in vein density in these species than has been seen elsewhere.

The theory we tested has some utility for constructing hypotheses about TERs and community assembly.

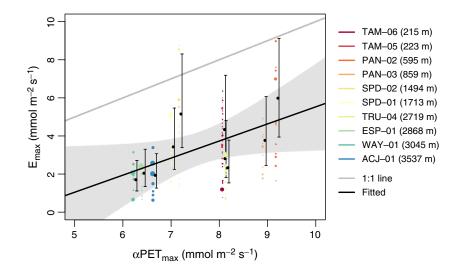


FIG. 8. Test of the prediction that maximum abundance-weighted mean transpiration  $(E_{\text{max}})$  is equal to maximum potential evapotranspiration ( $\alpha$  PET<sub>max</sub>). Abundance-weighted mean values of  $E_{\text{max}}$  at each site take distributions that reflect propagated uncertainty due to leaf-stem water potential gradient  $\Delta \Psi_{lx}$  and cell elongation ratio  $C_y/C_x$ : black dots indicate medians and bars indicate 25% and 75% quantiles. Points represent medians for individual species and are shaded by plot elevation and sized by local abundance as measured by summed basal area. The 1:1 prediction is shown as a gray line; linear regression through median  $E_{\text{max}}$  values is shown as a solid black line with gray 95% prediction confidence envelope.

Predictions for the relationships between site-scale climate and leaf-scale traits were of the correct sign and slope relative to observed data. However, the model systematically underestimated the data, and the relationship between VD and MAT was statistically stronger than the proposed relationship between  $E_{\text{max}}$  and  $\alpha \text{ PET}_{\text{max}}$ . This lack of model congruence with data may arise from five possible causes.

First, the parameterization of the model may have been imprecise. Unmeasured variation in parameters could have shifted model predictions. Specifically, if values of  $\Delta \Psi_{ls}$  were higher than proposed and values of  $C_y/C_x$  were lower than proposed, predictions for  $E_{\text{max}}$ could be higher, resulting in better model fit. However, we found that variation in these parameters within their assumed ranges of uncertainty would only sometimes yield values that would overlap the 1:1 prediction line for  $E_{\text{max}}$  and  $\alpha$  PET<sub>max</sub>.

Second, the model for conductance may be too simple. Conductance also depends on other anatomical features such as bundle sheath extensions and sclereids (Brodribb et al. 2007, Sack and Scoffoni 2013, Buckley et al. 2015), as well as instantaneous transpiration rate and temperature (Buckley et al. 2015, Simonin et al. 2015). While the Brodribb et al. (2007) model provides a good fit to a phylogenetically broad sample of species, more detailed modeling of conductance could potentially further improve it. However, these anatomical traits are very time-intensive to measure, so that detailed information for several hundred species likely will remain out of reach for studies focused on community assembly.

Third, not all species in the community will experience the same value of  $\alpha$  PET<sub>max</sub>, as implicitly assumed.

Variation in the environment among individuals (e.g., shaded canopies) could weaken the community-mean relationship even though relationships at individual scale might still be strong. Previous studies have demonstrated venation network trait differences between sun and shade leaves (reviewed in Sack and Scoffoni 2013). In this dataset, we found sun-shade differences in some venation network traits, and evidence for stronger TERs within shade and sun leaves compared to within the entire dataset. However, we did not find consistent evidence intraspecific venation network TERs, despite evidence for these in other systems (Blonder et al. 2013, 2015). However, the, high Andean beta diversity and the taxonomic breadth of our study necessarily led to limited within-species replication. Thus it seems possible that measuring microclimate variation may help to improve the strength abundance-weighted TERs.

Fourth, the model's assumption about leaf-scale transpiration matching (Eq. 10) may not hold for species that have conservative water-use strategies. However, the high precipitation (>1,500 mm yr<sup>-1</sup>) at all communities suggests that these strategies are unlikely here. Selection may not be occurring on hydraulic capacity, but instead on hydraulic vulnerability. A previous study on evergreen angiosperms in the same Peruvian environments showed that species' climatic limits are consistent with linkages between hydraulic vulnerability and rainfall (Blackman et al. 2011). Hydraulic capacity may therefore be an important, but not complete, predictor of environmental filtering.

Fifth, the underlying data for potential evapotranspiration may have been limited. Data were only available for 1 yr and may not have been representative of the long-term means and extremes that constrain the distribution of species in this gradient. Both interannual variation and differences in cloud immersion along the gradient are likely to play an important and unmeasured role in evapotranspiration in these sites by altering vapor pressure deficit and suppressing transpiration (Gotsch et al. 2016) or by altering the water available for (and the direction of) hydraulic conductance through the direct uptake of water through leaves (Goldsmith et al. 2013). Leaf-level, whole plant and ecosystem transpiration are known to vary along tropical montane elevation gradients where cloudiness plays a considerable role (Gotsch et al. 2016). This may provide an explanation for why several TERs demonstrated abrupt jumps in trait values at the cloud boundary. However, the role of clouds was not directly examined here beyond their effects on microclimate (e.g., vapor pressure deficit, temperature, and insolation) for statistical reasons because of the high covariation between temperature and cloudiness in this system.

Taken as a whole, the utility of the Blonder and Enquist (2014) model for quantitatively predicting TERs remains tentative but promising: predictions of the TER slope are correct, but predictions for the TER intercept are biased. The observation that any correlations are observed at all, despite the data challenges and approximations inherent to broad comparative studies, suggests that the model deserves further examination. Quantitative theories are by their nature easy to falsify, but falsified predictions indicate a need to refine theory, refine data, or propose alternatives. Doing any of these remains an ongoing and important challenge.

We have shown that a number of traits linked to leaf venation networks are coordinated with each other and with climate gradients, leading to strong abundanceweighted TERs, and that at the community scale, the empirical TER between abundance-weighted maximum transpiration rate (as modeled using venation network traits) and potential evapotranspiration was close, but not equal, to the 1:1 prediction. These results offer an empirical perspective on the drivers of leaf traits across an Andes-Amazon elevation gradient and also highlight the challenges inherent to developing trait-based climate reconstruction and community assembly.

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